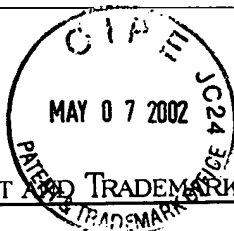


# 3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,529	11/28/2001	Kimberly A. Gillis	102729-0014

CONFIRMATION NO. 3553

021125  
NUTTER MCCLENNEN & FISH LLP  
ONE INTERNATIONAL PLACE  
BOSTON, MA 02110

## FORMALITIES LETTER



\*OC000000007427811\*

Date Mailed: 02/06/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/09/2002 BABRAHA1 00000110 09996529

01 FC:105

130.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice MUST be returned with the reply.*

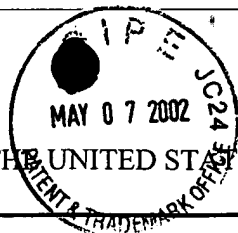
*Y. G.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



# 4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Kimberly A. Gillis et al.

Application No.: 09/996,529

Confirmation No. 3553

Filed: November 28, 2001

For: Expression Analysis of Inhibitor of Differentiation  
Nucleic Acids and Polypeptides Useful In The Diagnosis  
and Treatment of Prostate Cancer

Attorney Docket No.: 102729-14

Group Art Unit: 1645

Examiner: Not Yet Assigned

Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Sequence, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202 on the date set forth below.

May 3, 2002  
Date of Signature and of Mail Deposit

By:

Jasbir Sagoo  
Jasbir Sagoo, Ph.D., Reg. No. 51,177  
Agent for Applicants

STATEMENT UNDER 37 C.F.R § 1.821(f)**Box Sequence**

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

I hereby state that the content of the computer readable Sequence Listing accompanying this Statement is the same as the content of the Sequence Listing submitted in accordance with 37 C.F.R §1.821(c) and (e), respectively.

Respectfully submitted,

NUTTER, MCCLENNEN &amp; FISH, LLP

Jasbir Sagoo  
Jasbir Sagoo, Ph.D., Reg. No. 51,177  
Agent for Applicants  
One International Place  
Boston, MA 02110-2699  
Tel: (617) 439-2994  
Fax (617) 310-9994



HH

MP/\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Kimberly A. Gillis et al.

Application No.: 09/996,529

Confirmation No. 3553

Filed: November 28, 2001

For: Expression Analysis of Inhibitor of  
Differentiation Nucleic Acids and Polypeptides  
Useful In The Diagnosis and Treatment of Prostate  
Cancer

Attorney Docket No.: 102729-14

Group Art Unit: 1645

Examiner: Not Yet Assigned

**TRANSMITTAL LETTER**

Box Sequence  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed are the following documents for filing in connection with the above-referenced patent application:

1. Transmittal Letter (in duplicate);
2. Response to Notice to File Missing Parts for NonProvisional Application (in duplicate);
3. Two Executed Declaration, Petition and Powers of Attorney;
4. Petition for One-Month Extension of Time (in duplicate);
5. A copy of the Notice to File Missing Parts for NonProvisional Application;
6. Hard Copy Form of Computer Readable Sequence Listing (1pg.);
7. Statement Under 37 C.F.R. §1.821(f);
8. Diskette Containing Computer Readable Sequence Listing;
6. A check in the amount of \$130.00 (for surcharge);
7. A check in the amount of \$110.00 (for extension fee); and
8. Return Receipt Postcard.

The Commissioner is hereby authorized to charge any underpayments or overpayments in

connection with this filing to our Deposit Account No. 141449, Reference No. 102729-14, Customer No. 021125. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202 on:

Date May 3, 2002  
Jasbir Sagoo  
Jasbir Sagoo, Reg. No. 51,177

Respectfully submitted,

NUTTER, MCCLENNEN & FISH, LLP

Jasbir Sagoo  
Jasbir Sagoo, Reg. No. 51,177  
Agent for Applicants  
One International Place  
Boston, MA 02110-2699  
Tel: (617) 439-2994  
Fax (617) 310-9994



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Kimberly A. Gillis et al.

Application No.: 09/996,529

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Attorney Docket No.: 102729-14

Group Art Unit: 1645

Examiner: Not Yet Assigned

Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Sequence, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202 on the date set forth below.

May 3, 2002  
Date of Signature and of Mail Deposit

By:

Jasbir Sagoo  
Jasbir Sagoo, Reg. No. 51,177  
Agent for Applicants

Box Sequence  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

Dear Sir:

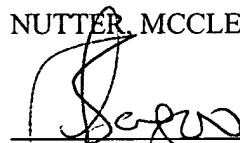
In Response to the Notice to File Missing Parts of NonProvisional Application dated February 6, 2002, Applicant's agent submits herewith two Executed Declaration, Petition and Powers of Attorney for Patent Application for the above-identified patent application. A separate petition for an extension of time is being filed concurrently herewith. A check in the amount of \$130.00 based on large entity status is enclosed to cover the cost of the surcharge fee. A copy of the Notice To File Missing Parts of Nonprovisional Application is also enclosed.

In Response to the Notice to Comply, enclosed is the Sequence Listing in hard copy form with a Statement Under C.F.R. §1.821(f) and a Diskette containing the hard copy form of Sequence Listing.

The Commissioner is hereby authorized to charge payment of any additional fees in connection with this communication or credit any overpayment to our Deposit Account No. 141449, Reference No. 102729-14. A duplicate copy of this document is enclosed.

Respectfully submitted,

NUTTER, MCCLENNEN & FISH, LLP



Jasbir Sagoo, Reg. No. 51,177

Agent for Applicants

One International Place

Boston, MA 02110-2699

Tel: (617) 439-2994

Fax (617) 310-9994

Dated: May 3, 2002

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